

Multi Context-Free Tree Grammars and Multi-component Tree Adjoining Grammars

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Definition

Context-free grammar (N, Σ, S, R) is in **Greibach normal form** if each rule $\rho \in R \setminus \{S \rightarrow \varepsilon\}$ is of the form $\rho = A \rightarrow \sigma A_1 \cdots A_n$ with $\sigma \in \Sigma$ and $A, A_1, \dots, A_n \in N$

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Theorem [Greibach 1965]

Every CFG can be turned into an equivalent CFG in Greibach normal form

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CFG (N, Σ, S, R) is **lexicalized** if $\text{occ}_\Sigma(r) \neq \emptyset$
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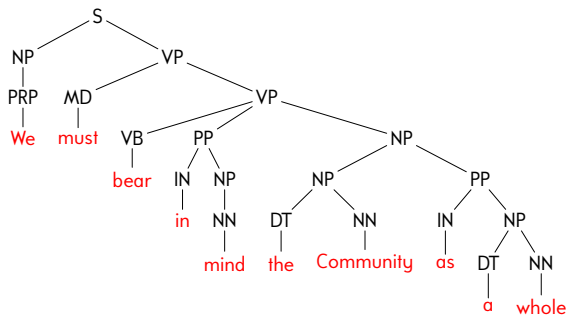
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- CFG in Greibach normal form is lexicalized
- lexicographers (linguists) love lexicalized grammars
- occurrence of lexical element in a rule is called **anchor**

Motivation



- linguists nowadays care more about the parse tree than the membership of its yield in the (string) language
- modern grammar formalisms generate tree and string languages

Definition

For two tree grammars G and G' , of which G' is lexicalized,

- G' **weakly lexicalizes** G if $\text{yield}(L(G')) = \text{yield}(L(G))$
- G' **strongly lexicalizes** G if $L(G') = L(G)$

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- string language preserved under weak lexicalization

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- tree language preserved under strong lexicalization
- string language preserved under weak lexicalization
- lifted to classes \mathcal{C} and \mathcal{C}' as usual

\mathcal{C}' -grammars strongly lexicalize \mathcal{C} -grammars if for every $G \in \mathcal{C}$ there exists a lexicalized $G' \in \mathcal{C}'$ such that $L(G') = L(G)$

Some results:

- CFGs (local tree grammars) weakly lexicalize themselves
[Greibach 1965]
- Tree adjoining grammars (TAGs) strongly lexicalize CFGs
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- TAGs do not strongly lexicalize themselves
[Kuhlmann, Satta 2012]
- Context-free tree grammars (CFTGs) strongly lexicalize TAGs and themselves
[Maletti, Engelfriet 2013]

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- 4 Expressive Power

Definition [Engelfriet, Maneth 1998; Kanazawa 2010]

Multiple context-free tree grammar (MCFTG) $G = (N, B, \Sigma, S, R)$

- finite totally ordered ranked alphabet N (nonterminals)
- partition $B \subseteq \mathcal{P}(N)$ of N (big nonterminals)
- finite ranked alphabet Σ (terminals)
- $S \in N^{(0)}$ with $\{S\} \in B$ (initial big nonterminal)
- finite set R of **rules** of the form $\bar{A} \rightarrow \bar{r}$ with $\bar{A} \in B$ and N -linear forest $\bar{r} \in C_{N \cup \Sigma}(X)^+$ such that $\text{rk}^+(\bar{r}) = \text{rk}^+(\bar{A})$ and B saturates $\text{occ}_N(\bar{r})$

Definition [Engelfriet, Maneth 1998; Kanazawa 2010]

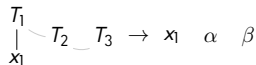
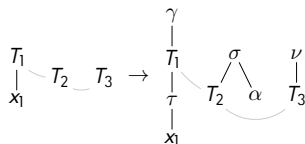
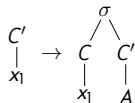
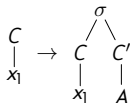
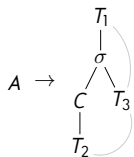
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- MCFTGs generalize (linear, nondeleting) CFTGs to multiple components
- multiple components synchronously applied to “synchronized” nonterminal occurrences

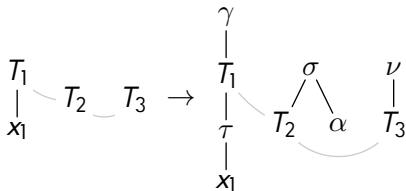
Main notion

Nonterminals $S, A, C, C', T_1, T_2, T_3$:



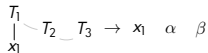
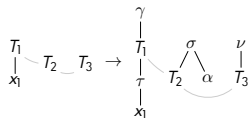
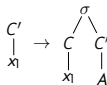
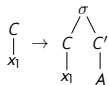
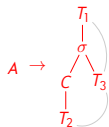
(nonterminals that constitute a big nonterminal connected by splines)

Main notion



- nonterminals T_1, T_2, T_3 with $T_1 < T_2 < T_3$, terminals $\{\gamma, \tau, \sigma, \alpha, \nu\}$
- big nonterminal in lhs and rhs: $\{T_1, T_2, T_3\}$ of ranks $1, 0, 0$
- 3 corresponding rhs contexts with $1, 0, 0$ variables

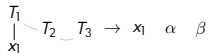
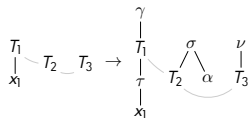
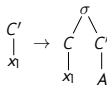
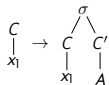
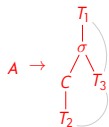
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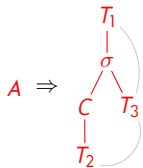
Derivation:

A

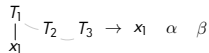
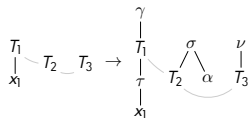
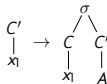
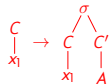
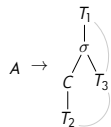
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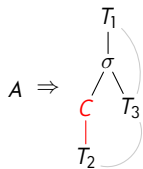
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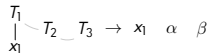
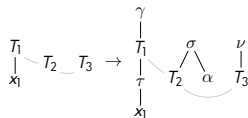
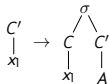
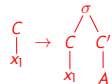
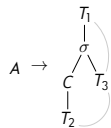
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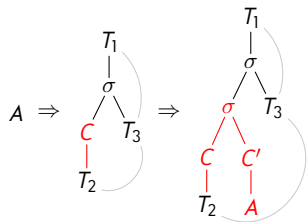
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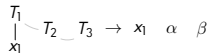
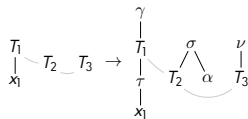
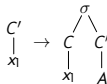
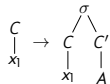
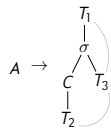
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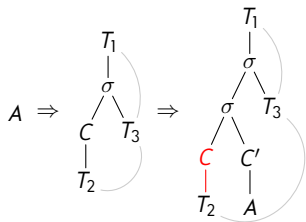
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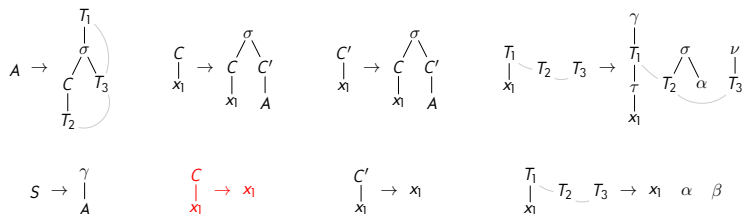
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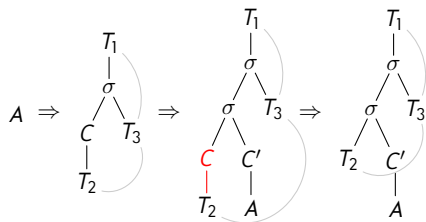
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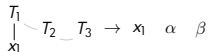
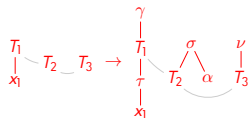
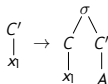
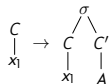
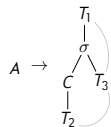
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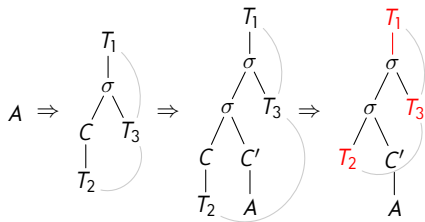
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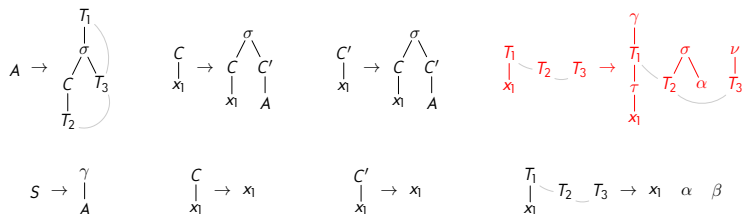
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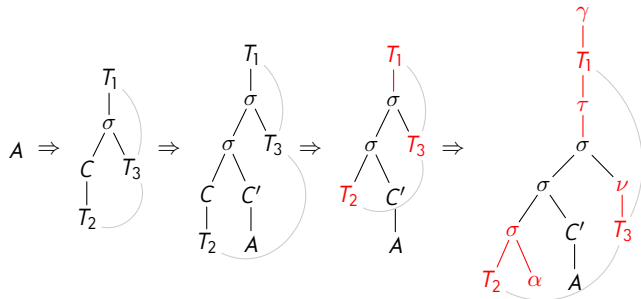
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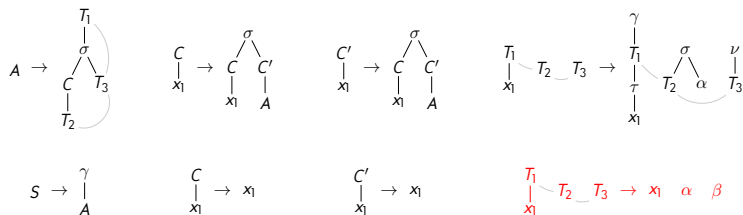
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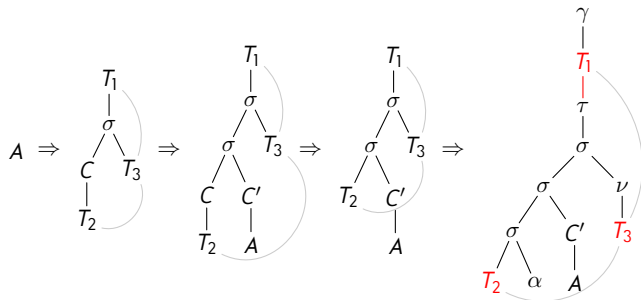
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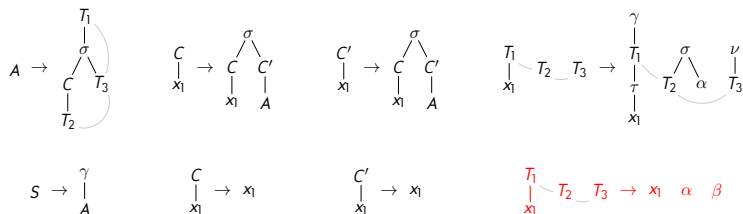
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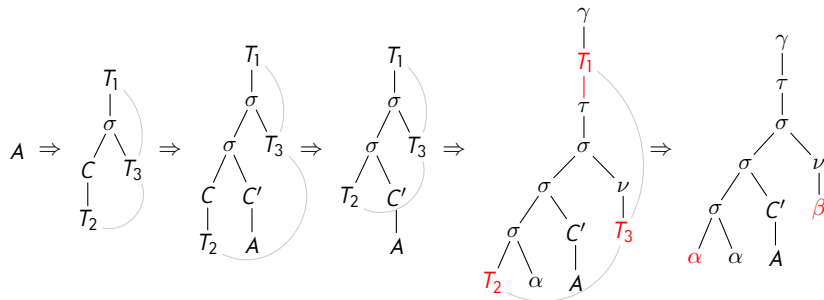
Derivation:



Main notion



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Definition

The tree language generated by the MCFTG $G = (N, B, \Sigma, S, R)$ is

$$L(G) = \{t \in T_{\Sigma} \mid S \Rightarrow^* t\}$$

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Definition

Tree language $L \subseteq T_\Sigma$ has **finite ambiguity** if for every $w \in (\Sigma^{(0)})^*$

$$\{t \in L \mid \text{yield}(t) = w\} \quad \text{is finite}$$

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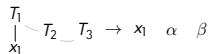
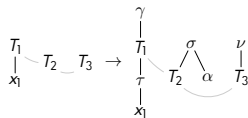
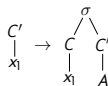
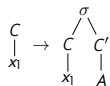
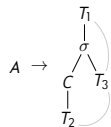
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- every string w has finitely many “parses” in L
(i.e., finitely many tree representations that have w as yield)
- property of the language, not the grammar
(not to be confused with the similarly named notions for grammars)

Lexicalization

MCFTG G :



$L(G)$ has finite ambiguity

Definition

MCFTG (N, B, Σ, S, R) is **lexicalized** if $\text{occ}_{\Sigma^{(0)}}(\bar{r}) \neq \emptyset$ for every $\bar{A} \rightarrow \bar{r} \in R$

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- each rule contains an anchor (from $\Sigma^{(0)}$)
- lexicalized MCFTGs generate tree languages with finite ambiguity

Theorem [MCFTGs strongly lexicalize themselves]

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- multiplicity remains the same
(multiplicity = maximal cardinality of big nonterminals)
- width increases at most by 1
(width = maximal rank of nonterminals)
- derivation trees are even related by means of linear deterministic top-down tree transducers with regular look-ahead

Lexicalization approach:

- normalize terminal rules to contain at least 2 anchors

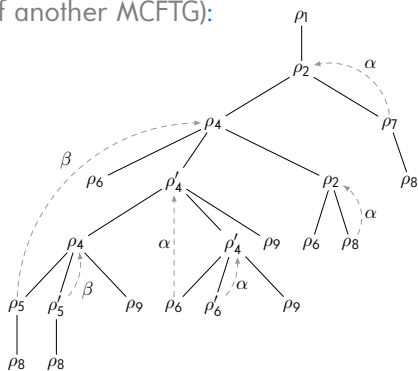
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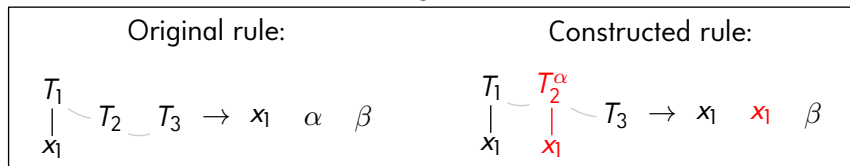
Lexicalization approach:

- normalize terminal rules to contain at least 2 anchors
- normalize unary rules to contain at least 1 anchor
- guess-and-verify strategy for remaining rules

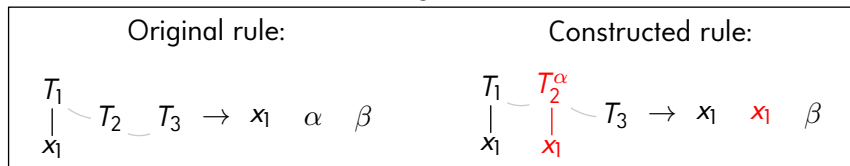
Derivation tree (of another MCFTG):



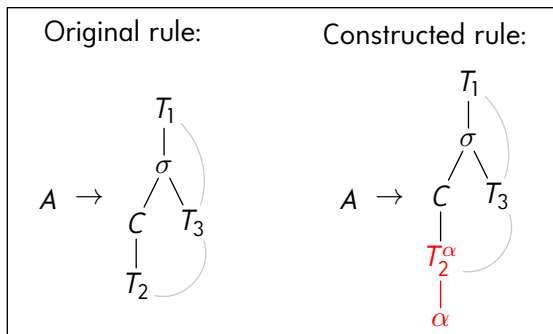
- Extraction (verification) of lexical symbol



- Extraction (verification) of lexical symbol



- Guess of lexical symbol (lexicalizing the rule)



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Definition

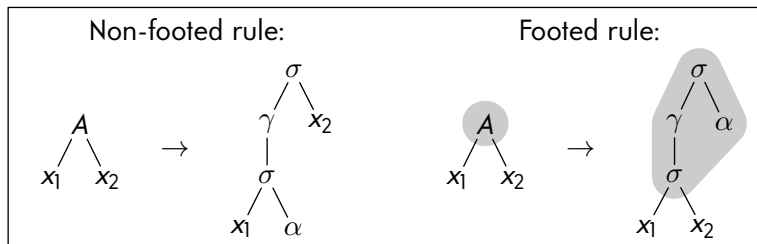
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- Context $c \in C_{N \cup \Sigma}(X_k)$ with k variables is **footed** if $k = 0$ or there is a subtree of the form $\sigma(x_1, \dots, x_k)$
- Rule $\bar{A} \rightarrow \bar{r}$ is **footed** if all contexts in \bar{r} are **footed**
- MCFTG (N, B, Σ, S, R) is a **multi-component tree adjoining grammar** (MC-TAG) if all the rules of R are **footed**.



Theorem

For every MCFTG G there exists an equivalent MC-TAG G'

- footed normal form for MCFTGs
- footed CFTGs as expressive as TAGs [Kepser, Rogers 2011]

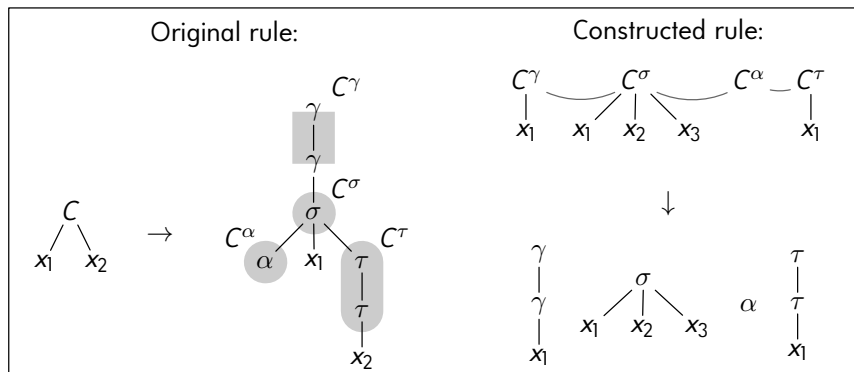
Theorem

For every MCFTG G there exists an equivalent MC-TAG G'

- footed normal form for MCFTGs
- footed CFTGs as expressive as TAGs [Kepser, Rogers 2011]
- result also true for strict MC-TAG
(our notion of MC-TAG is essentially “non-strict MC-TAG”)
- if MCFTG G lexicalized, then so is MC-TAG G'

Proof idea:

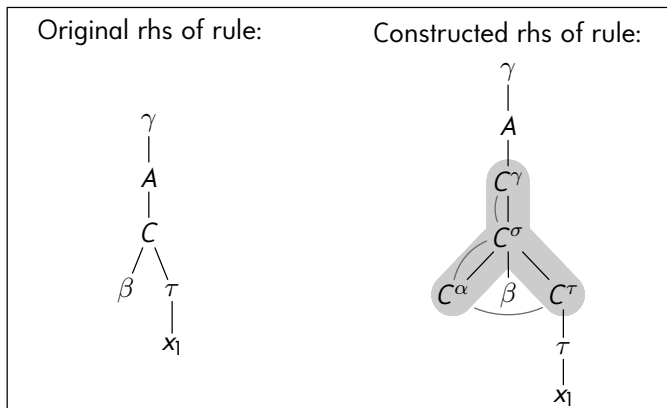
- Decompose context into footed contexts:



Expressive Power

Proof idea:

- Adjust “calls” appropriately:



Corollary [MC-TAGs strongly lexicalize themselves]

For every MC-TAG G it is decidable whether $L(G)$ has finite ambiguity and if so an equivalent lexicalized MC-TAG can be constructed.

Key points:

- MCFTGs and MC-TAGs equally expressive
- both allow strong lexicalization

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Thank you for your attention.

Full version available on [arXiv](#)

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